

State of Colorado

SEXUAL PREDATOR RISK ASSESSMENT SCREENING INSTRUMENT

PURSUANT TO 18-3-414.5 C.R.S.

Probation officers, please fax or mail all completed forms within one month to:

Division of Criminal Justice
Attn: Office of Research and Statistics
700 Kipling Street, Suite 1000
Denver, Colorado 80215
303.239.4491 (FAX)

If the offender meets the criteria in this instrument:

(PART 1) + (PART 2) + (PART 3 OR PART 4)

he will be referred to the court as a sexually violent predator based on this instrument. The court makes the final determination regarding sexually violent predator status pursuant to 16-11.7-103.

Instructions:

Please complete the entire form (7 pages) for each sex offender on your caseload. This form applies to offenses committed on or after July 1, 1997, whose conviction or plea (finding of guilt) occurred on or after July 1, 1999. DCJ is continuing to analyze the data gathered from these forms to strengthen the predictive capacity of the instrument. In order to accurately do this, the data must reflect both those offenders who are identified as sexually violent predators and those who are not. Thank-you for your ongoing assistance with the development of this instrument.

P PROBATION

Completes Part 1 and Part 3, items 1-6 then forwards this form to the Evaluator with copies of the police reports and any victim statements. If either are not forwarded with this report, please indicate why here:

E SOMB EVALUATOR

Completes Part 2, Part 3, items 7-10 and Part 4 including the Instrument Summary then return the completed form to probation with the completed mental health sex offense specific evaluation.

DATA SOURCES

Please identify the data sources by number when completing the following sections of this instrument.

1 Criminal History	10 Polygraph
2 Pre-Sentence Investigation Process	11 Sexual History (official record, self report)
3 Police Report	12 Sex Offense Specific Mental Health Evaluation
4 Mental Health Evaluation	13 Prison Record
5 Official Record/Documentation	14 Self-Report
6 Child Protection or Social Service Records	15 CCIC
7 Demographic Information	16 Results of a Plethysmograph Examination or an Abel Screen (SOMB Standards)
8 NCIC	17 Victim Report (from any data source including victim statements)
9 Education Records	18 Other (Please Specify)

Part 1



(PROBATION complete Part 1)

CLIENT INFORMATION

Offender Name:

SS#:	SID#:	DOB:
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Gender: G Male G Female	Race: G Anglo G Black G Hispanic G Other
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Referring PO:	Date PO Referred Instrument to Evaluator:
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Judicial District:

SOMB Evaluator:	Date of Eval:	Date Returned to PO:
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DEFINING SEXUAL ASSAULT CRIMES

The offender has been **convicted of an offense committed on or after July 1, 1997**, of one or more of the following crimes (attempts, conspiracies, and deferred judgements *do not* apply.) (check all those that apply):

- G Sexual Assault in the First Degree (18-3-402)
- G Sexual Assault in the Second Degree (18-3-403)
- G Sexual Assault in the Third Degree (felony convictions only)(18-3-404)(1.5 or 2)
- G Sexual Assault on a Child (18-3-405)
- G Sexual Assault on a Child by one in a position of Trust (18-3-405.3).

Meets DEFINING CRIMES Criterion:	G Yes	G No
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Please proceed to Part 2.

Part 2

E (EVALUATOR complete Part 2)

The following criteria were developed to assist in the identification of a sexually violent predator as outlined in 18-3-414.5 C.R.S.

THE OFFENDER MUST MEET ONE OF THE FOLLOWING THREE SEXUALLY VIOLENT PREDATOR RELATIONSHIP DEFINITIONS: 1) **STRANGER**, 2) **ESTABLISHED A RELATIONSHIP**, OR 3) **PROMOTED A RELATIONSHIP**.

STRANGER

The victim is a **stranger** to the offender when the victim has never known or met the offender, or has met the offender in such a casual manner as to have little or no familiar or personal knowledge of said offender, prior to the current offense.

Meets STRANGER Criterion: <i>If yes, go to the Summary on page 5. If no, continue in Part 2.</i>	G Yes	G No
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Please select the appropriate data source from the list on Page 2.

Data Source(s):

ESTABLISHED A RELATIONSHIP

(Consider only when **stranger criteria above** does not apply.) The offender **established a relationship** primarily for the purpose of sexual victimization when **any two of the following criteria** are present (check all that apply).

- G The offender has a history of multiple victims and similar behavior.
- G The offender has actively manipulated the environment to gain access to this victim.
- G The offender introduced sexual content into the relationship, such as but not limited to, pornography or inappropriate discussion of sexual relations with a child.
- G The offender persisted in the introduction of sexual contact or inappropriate behavior of a sexual nature despite lack of consent or the absence of the ability to consent.

Meets ESTABLISHED Criteria: <i>If yes, go to the Summary on page 5. If no, continue in Part 2.</i>	G Yes	G No
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Please select the appropriate data source from the list on Page 2.

Data Source(s):

Part 2 *(continued)*

PROMOTED A RELATIONSHIP

(Consider only when **stranger or established a relationship criteria** above do not apply.) **The offender promoted an existing relationship** primarily for the purpose of sexual victimization when **the first item below is present and** any other item is present (*check all that apply*).

G The offender took steps to change the focus of the relationship to facilitate the commission of a sexual assault, such as but not limited to, planning, increased frequency of contact, introduction of inappropriate sexual contact, stalking, seduction or drugging of the victim,

and

- G The offender engaged in contact with the victim that was progressively more sexually intrusive, or
- G The offender used or engaged in threat, intimidation, force or coercion in the relationship, or
- G The offender engaged in repetitive non-consensual sexual contact, or
- G The offender established control of the victim through means, such as but not limited to, emotional abuse, physical abuse, financial control or isolation of the victim in order to facilitate the sexual assault.

Meets PROMOTED Criteria:	G Yes	G No
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Please select the appropriate data source from the list on Page 2.

Data Source(s):

SUMMARY OF RELATIONSHIP INFORMATION

Meets STRANGER Criterion:	G Yes	G No
Meets ESTABLISHED A RELATIONSHIP Criteria:	G Yes	G No
Meets PROMOTED A RELATIONSHIP Criteria:	G Yes	G No

Please proceed to Part 3.

Part 3

DCJ SEX OFFENDER RISK SCALE (SORS)

The offender is **at greater risk** of subsequently committing one of the identified crimes (16-11.7-103 (1) C.R.S.) when **Four or more of the following descriptions apply to this offender**. Each of the ten items are worth one point. **Missing information must be scored no**. (Please indicate your data sources by documenting the corresponding number from the data source list found on page 2.)

P (PROBATION complete items 1 through 6)

Yes No

- G G 1. **The offender has one or more juvenile felony adjudications.** (Includes attempts and conspiracies but not deferred judgments.)
Data Source(s) _____
- G G 2. **The offender has one or more prior adult felony convictions.** (Include attempts/conspiracies and deferred judgments/sentences).
Data Source(s) _____
- G G 3. **The offender was employed less than full time at arrest.** (Part-time or sporadic work are not considered full-time. Multiple, concurrent, stable part-time jobs are considered full-time employment. Full-time work refers to 35 or plus hours per week)
Data Source(s) _____
- G G 4. **The offender failed first or second grade.** (Whatever the reason, if the offender failed these grades or was held back or repeated the grade, this item scores "yes". Probation Officers may need to work closely with the SOMB evaluator and polygrapher to obtain this information.)
Data Source(s) _____
- G G 5. **The offender possessed a weapon during the current crime.** (A weapon was present and is defined as a gun, knife, or object that could be used to intimidate or harm a victim. The offender need only to possess the weapon during the crime, not use the weapon. If the victim was lead to believe that a weapon was present, regardless if it was, score this criterion "yes".)
Data Source(s) _____
- G G 6. **The victim had ingested or was administered alcohol or drugs during or immediately prior to the current crime.**
Data Source(s) _____

E (EVALUATOR complete items 7 through 10)

- G G 7. **The offender was NOT sexually aroused during the sexual assault.** (Sexual arousal refers to an erection. The erection must have been sustained throughout the sexual assault. Data sources include self-report and/or corroborating documentation such as the victim report and police report).
Data Source(s) _____

Item 8 through 10 on this scale are scored from the Colorado Sex Offender Management Board Checklist (page 8).

Yes No

- G G 8. **The offender scored 20 or above on the CO-SOMB Denial Scale.**
- G G 9. **The offender scored 20 or above on the CO-SOMB Deviancy Scale.**
- G G 10. **The offender scored 20 or below on the CO-SOMB Motivation Scale.**

TOTAL DCJ SEX OFFENDER RISK SCALE SCORE (Add number of "yes" responses)

Meets DCJ Sex Offender Risk Scale Criteria (Total score of 4 "yes" responses or more) G Yes G No
Please proceed to Part 4.

Part 4

E (EVALUATOR complete Part 4 and Instrument Summary)

MENTAL ABNORMALITY

The offender meets the **mental abnormality criterion when** he scores:

- 18 or more on the Psychopathy Checklist Screening Version (PCL:SV), **OR**
- 30 on the PCL - R, **OR**
- Scores of 85 or more on **each** of the following MCMI3 scales: narcissistic, antisocial, and paranoid.

Please indicate the score of the appropriate test below.

Psychopathy Checklist:

PCL-SV SCORE:

OR

PCL-R SCORE:

- **OR** -

MCMI3:

Narcissistic

AND

Antisocial

AND

Paranoid

Meets Mental Abnormality Criterion:	G Yes	G No
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INSTRUMENT SUMMARY:

To be identified a sexually violent predator, the offender must have yes on Parts 1 + 2 + (3 or 4).

PART 1 (Defining Sexual Assault Crimes Criterion) G Yes G No

PART 2 (Relationship Criteria) G Yes G No

PART 3 (DCJ Sex Offender Risk Scale Criteria) G Yes G No

PART 4 (Mental Abnormality Criterion) G Yes G No

OR

This risk assessment instrument identifies the offender as a:

Probation Officer/Evaluator Feedback:

SOMB CHECKLIST

Please endorse each of the following as they apply to the client with a "0" meaning "does not apply at all" to a "5" meaning "applies very much". **Please complete the entire form.**

Date _____ CR# _____ SS# _____

Client Name _____

SOMB Evaluator _____ Referring Probation Officer _____

Not at All. . .Very Much

Not at All. . .Very Much

MOTIVATION

- Verbalizes desire for treatment. 0 1 2 3 4 5
- Agrees with court order for intervention. 0 1 2 3 4 5
- Pays attention to evaluator. 0 1 2 3 4 5
- Arrives for appointments on time. 0 1 2 3 4 5
- Is positive about evaluator's testing 0 1 2 3 4 5
- Actively participates in evaluation. 0 1 2 3 4 5
- Completes evaluation requirements. 0 1 2 3 4 5
- Seeks additional help. 0 1 2 3 4 5

POSITIVE SOCIAL SUPPORT

- Has many pro-social friends. 0 1 2 3 4 5
- Has close friends. 0 1 2 3 4 5
- Interacts with friends regularly. 0 1 2 3 4 5
- Has healthy family. 0 1 2 3 4 5
- People are interested in his progress. 0 1 2 3 4 5
- People have offered to help him. 0 1 2 3 4 5
- Has friends/family he could live with. 0 1 2 3 4 5
- Has lived in same community for years. 0 1 2 3 4 5

DENIAL

- Denies actual facts of offense. 0 1 2 3 4 5
- Denies wrongness of actions. 0 1 2 3 4 5
- Minimizes prior offenses. 0 1 2 3 4 5
- Portrays self as victim. 0 1 2 3 4 5
- Blames others for the crime. 0 1 2 3 4 5
- Holds grudge against "system". 0 1 2 3 4 5
- Says victim "wanted it". 0 1 2 3 4 5
- Says therapy is unnecessary. 0 1 2 3 4 5

DEVIANT SEXUAL PRACTICES

- Has no socially appropriate sexual outlet. 0 1 2 3 4 5
- Engages in many forms of deviant sexuality. 0 1 2 3 4 5
- Obsessed with deviant sexual practices. 0 1 2 3 4 5
- Engages in bizarre sexual practices. 0 1 2 3 4 5
- Poor control of sexual behavior. 0 1 2 3 4 5
- Talks constantly about sex. 0 1 2 3 4 5
- Nothing seems "off limits" sexually. 0 1 2 3 4 5
- Masturbation is compulsive or excessive. 0 1 2 3 4 5

READINESS TO CHANGE

- Verbalizes desire to change. 0 1 2 3 4 5
- Sees other ways of behaving. 0 1 2 3 4 5
- Appears tired of old ways. 0 1 2 3 4 5
- Shows detrimental effects on victim. 0 1 2 3 4 5
- Has plan for change. 0 1 2 3 4 5
- Willingness to discuss sexual history. 0 1 2 3 4 5
- Can see a future in changing. 0 1 2 3 4 5
- Eliminates deviant sexual behavior. 0 1 2 3 4 5

TAKING CARE OF BUSINESS

- Work/school stability. 0 1 2 3 4 5
- Keeps up on financial obligations. 0 1 2 3 4 5
- Maintains stable family life/living situation. 0 1 2 3 4 5
- Completes homework. 0 1 2 3 4 5
- Takes responsibility for life incidents. 0 1 2 3 4 5
- Reports/journals about stressful situations. 0 1 2 3 4 5
- Reports/journals about anger. 0 1 2 3 4 5
- Reports/journals about high risk situations. 0 1 2 3 4 5

Not at All. . .Very Much

SOCIAL SKILLS

- Socially appropriate. 0 1 2 3 4 5
- Appropriate social connectedness. 0 1 2 3 4 5
- Pleasant in conversation. 0 1 2 3 4 5
- Non-hostile interaction. 0 1 2 3 4 5
- Dresses appropriately. 0 1 2 3 4 5
- Forms genuine bonds with others. 0 1 2 3 4 5
- Appropriate social network. 0 1 2 3 4 5
- Appropriately helpful to others. 0 1 2 3 4 5

Not at All. . .Very Much